

Special Communication | CARING FOR THE CRITICALLY ILL PATIENT

The Third International Consensus Definitions for Sepsis and Septic Shock (Sepsis-3)

Mervyn Singer, MD, FRCP; Clifford S. Deutschman, MD, MS; Christopher Warren Seymour, MD, MSc; Manu Shankar-Hari, MSc, MD, FFCM; Djillali Annane, MD, PhD; Michael Bauer, MD; Rinaldo Bellomo, MD; Gordon R. Bernard, MD; Jean-Daniel Chiche, MD, PhD; Craig M. Coopersmith, MD; Richard S. Hotchkiss, MD; Mitchell M. Levy, MD; John C. Marshall, MD; Greg S. Martin, MD, MSc; Steven M. Opal, MD; Gordon D. Rubenfeld, MD, MS; Tom van der Poll, MD, PhD; Jean-Louis Vincent, MD, PhD; Derek C. Angus, MD, MPH

IMPORTANCE Definitions of sepsis and septic shock were last revised in 2001. Considerable advances have since been made into the pathobiology (changes in organ function, morphology, cell biology, biochemistry, immunology, and circulation), management, and epidemiology of sepsis, suggesting the need for reexamination.

OBJECTIVE To evaluate and, as needed, update definitions for sepsis and septic shock.

PROCESS A task force (n = 19) with expertise in sepsis pathobiology, clinical trials, and epidemiology was convened by the Society of Critical Care Medicine and the European Society of Intensive Care Medicine. Definitions and clinical criteria were generated through meetings, Delphi processes, analysis of electronic health record databases, and voting, followed by circulation to international professional societies, requesting peer review and endorsement (by 31 societies listed in the Acknowledgment).

KEY FINDINGS FROM EVIDENCE SYNTHESIS Limitations of previous definitions included an excessive focus on inflammation, the misleading model that sepsis follows a continuum through severe sepsis to shock, and inadequate specificity and sensitivity of the systemic inflammatory response syndrome (SIRS) criteria. Multiple definitions and terminologies are currently in use for sepsis, septic shock, and organ dysfunction, leading to discrepancies in reported incidence and observed mortality. The task force concluded the term *severe sepsis* was redundant.

RECOMMENDATIONS Sepsis should be defined as life-threatening organ dysfunction caused by a dysregulated host response to infection. For clinical operationalization, organ dysfunction can be represented by an increase in the Sequential [Sepsis-related] Organ Failure Assessment (SOFA) score of 2 points or more, which is associated with an in-hospital mortality greater than 10%. Septic shock should be defined as a subset of sepsis in which particularly profound circulatory, cellular, and metabolic abnormalities are associated with a greater risk of mortality than with sepsis alone. Patients with septic shock can be clinically identified by a vasopressor requirement to maintain a mean arterial pressure of 65 mm Hg or greater and serum lactate level greater than 2 mmol/L (>18 mg/dL) in the absence of hypovolemia. This combination is associated with hospital mortality rates greater than 40%. In out-of-hospital, emergency department, or general hospital ward settings, adult patients with suspected infection can be rapidly identified as being more likely to have poor outcomes typical of sepsis if they have at least 2 of the following clinical criteria that together constitute a new bedside clinical score termed quickSOFA (qSOFA): respiratory rate of 22/min or greater, altered mentation, or systolic blood pressure of 100 mm Hg or less.

CONCLUSIONS AND RELEVANCE These updated definitions and clinical criteria should replace previous definitions, offer greater consistency for epidemiologic studies and clinical trials, and facilitate earlier recognition and more timely management of patients with sepsis or at risk of developing sepsis.

JAMA. 2016;315(8):801-810. doi:10.1001/jama.2016.0287

← Editorial page 757

+ Author Video Interview, Author Audio Interview, and JAMA Report Video at jama.com

← Related articles pages 762 and 775

+ CME Quiz at jamanetworkcme.com and CME Questions page 816

Author Affiliations: Author affiliations are listed at the end of this article.

Group Information: The Sepsis Definitions Task Force members are the authors listed above.

Corresponding Author: Clifford S. Deutschman, MD, MS, Departments of Pediatrics and Molecular Medicine, Hofstra-Northwell School of Medicine, Feinstein Institute for Medical Research, 269-01 76th Ave, New Hyde Park, NY 11040 (cdeutschman@nshs.edu).

Box 1. SIRS (Systemic Inflammatory Response Syndrome)

Two or more of:
 Temperature >38°C or <36°C
 Heart rate >90/min
 Respiratory rate >20/min or PaCO₂ <32 mm Hg (4.3 kPa)
 White blood cell count >12 000/mm³ or <4000/mm³
 or >10% immature bands

From Bone et al.⁹

review and Delphi consensus methods were also used for the definition and clinical criteria describing septic shock.¹³

When compiled, the task force recommendations with supporting evidence, including original research, were circulated to major international societies and other relevant bodies for peer review and endorsement (31 endorsing societies are listed at the end of this article).

Issues Addressed by the Task Force

The task force sought to differentiate sepsis from uncomplicated infection and to update definitions of sepsis and septic shock to be consistent with improved understanding of the pathobiology. A definition is the description of an illness concept; thus, a definition of sepsis should describe what sepsis "is." This chosen approach allowed discussion of biological concepts that are currently incompletely understood, such as genetic influences and cellular abnormalities. The sepsis illness concept is predicated on infection as its trigger, acknowledging the current challenges in the microbiological identification of infection. It was not, however, within the task force brief to examine definitions of infection.

The task force recognized that sepsis is a syndrome without, at present, a validated criterion standard diagnostic test. There is currently no process to operationalize the definitions of sepsis and septic shock, a key deficit that has led to major variations in reported incidence and mortality rates (see later discussion). The task force determined that there was an important need for features that can be identified and measured in individual patients and sought to provide such criteria to offer uniformity. Ideally, these clinical criteria should identify all the elements of sepsis (infection, host response, and organ dysfunction), be simple to obtain, and be available promptly and at a reasonable cost or burden. Furthermore, it should be possible to test the validity of these criteria with available large clinical data sets and, ultimately, prospectively. In addition, clinical criteria should be available to provide practitioners in out-of-hospital, emergency department, and hospital ward settings with the capacity to better identify patients with suspected infection likely to progress to a life-threatening state. Such early recognition is particularly important because prompt management of septic patients may improve outcomes.⁴

In addition, to provide a more consistent and reproducible picture of sepsis incidence and outcomes, the task force sought to integrate the biology and clinical identification of sepsis with its epidemiology and coding.

Box 2. Key Concepts of Sepsis

- Sepsis is the primary cause of death from infection, especially if not recognized and treated promptly. Its recognition mandates urgent attention.
- Sepsis is a syndrome shaped by pathogen factors and host factors (eg, sex, race and other genetic determinants, age, comorbidities, environment) with characteristics that evolve over time. What differentiates sepsis from infection is an aberrant or dysregulated host response and the presence of organ dysfunction.
- Sepsis-induced organ dysfunction may be occult; therefore, its presence should be considered in any patient presenting with infection. Conversely, unrecognized infection may be the cause of new-onset organ dysfunction. Any unexplained organ dysfunction should thus raise the possibility of underlying infection.
- The clinical and biological phenotype of sepsis can be modified by preexisting acute illness, long-standing comorbidities, medication, and interventions.
- Specific infections may result in local organ dysfunction without generating a dysregulated systemic host response.

cal care units in Australia and New Zealand with infection and new organ failure did not have the requisite minimum of 2 SIRS criteria to fulfill the definition of sepsis (poor concurrent validity) yet had protracted courses with significant morbidity and mortality.²⁶ Discriminant validity and convergent validity constitute the 2 domains of construct validity; the SIRS criteria thus perform poorly on both counts.

Organ Dysfunction or Failure

Severity of organ dysfunction has been assessed with various scoring systems that quantify abnormalities according to clinical findings, laboratory data, or therapeutic interventions. Differences in these scoring systems have also led to inconsistency in reporting. The predominant score in current use is the Sequential Organ Failure Assessment (SOFA) (originally the Sepsis-related Organ Failure Assessment²⁷) (Table 1).²⁸ A higher SOFA score is associated with an increased probability of mortality.²⁸ The score grades abnormality by organ system and accounts for clinical interventions. However, laboratory variables, namely, PaO₂, platelet count, creatinine level, and bilirubin level, are needed for full computation. Furthermore, selection of variables and cutoff values were developed by consensus, and SOFA is not well known outside the critical care community. Other organ failure scoring systems exist, including systems built from statistical models, but none are in common use.

Septic Shock

Multiple definitions for septic shock are currently in use. Further details are provided in an accompanying article by Shankar-Hari et al.¹³ A systematic review of the operationalization of current definitions highlights significant heterogeneity in reported mortality. This heterogeneity resulted from differences in the clinical variables chosen (varying cutoffs for systolic or mean blood pressure ± diverse levels of hyperlactatemia ± vasopressor use ± concurrent new organ dysfunction ± defined fluid resuscitation volume/targets), the data source and coding methods, and enrollment dates.